

Effect of Alkali Concentration and Reaction Time on the Morphology of ZnO Nano-Microparticles Prepared by Hydrothermal Method

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Abstract

A low-temperature hydrothermal method was used to prepare ZnO nano-microparticles using surfactant-free solutions. The effect of the alkali concentration ($\text{Zn}^{2+}/\text{OH}^- = 1:1, 1:2, 1:4$ and $1:8$) and reaction time (1, 2 and 3 hours) on the morphology of the ZnO was studied. The structure and the morphology of the ZnO obtained were investigated by means of XRD and SEM techniques, respectively. The results revealed that the solution basicity and reaction time were important factors affecting the morphology. With an increase in the reaction time, the crystallinity of the phases formed was enhanced. At a low alkali concentration, i.e. 1:1, a ZnO hexagonal prism-like structure with a crystal size of 300 nm – 1.5 μm and $\text{Zn}(\text{OH})_2$ amorphous phase were formed. With a further increase to $\text{Zn}^{2+}/\text{OH}^- = 1:2$, some nanorods and flakes appeared beside the ZnO nanoparticles. When the ratio reached $\text{Zn}^{2+}/\text{OH}^- = 1:4$, different morphologies such as nanorods, slices and nanoflakes were obtained. At $\text{Zn}^{2+}/\text{OH}^- = 1:8$, the morphology changed into microflowers as the major structure with some nanoparticles and nanosheets as the minor structure.

Keywords: Chemical synthesis, ZnO, nanostructures, x-ray diffraction, SEM

1. Introduction

Nanocrystalline metal oxides play a very important role in many areas of chemistry, physics and materials science. They can adopt a vast number of structural geometries with an electronic structure that can exhibit metallic, semiconductor or insulator characteristics¹.

Zinc oxide (ZnO) is an exceptionally important intrinsic semiconductor with a wide direct band gap of 3.37 e.V. and large excitation binding energy of 60 meV at room temperature. Zinc oxide is already widely used in piezoelectric transducers², gas sensors³, optical waveguides⁴, dye-sensitized solar cells⁵, varistors⁶ and solar cell windows⁷ as well as bulk acoustic wave devices⁸. In recent years, one-dimensional (1D) oxides have attracted considerable interest owing to their distinctive geometry characteristics as well as their novel chemical and physical properties. A variety of morphologies including nanowires⁹, nanorods¹⁰, hollow spheres¹¹, nanoflakes¹², nanowhiskers¹³, hexagonal prismatic shapes¹⁴, bi-pyramidal and dumbbell-like shapes¹⁵, nanoribbons¹⁶, and flower-like shapes¹⁷ have been synthesized. These structures are expected to have more potential applications in building functional electronic devices with special architectures and distinctive optoelectronic properties. However, morphological control and crystal evolution of ZnO structures are still difficult to achieve. They are proving a great challenge for material scientists.

The complicated procedures, sophisticated equipment

or rigid experimental conditions used in chemical vapor deposition, thermal evaporation and sputtering methods severely restrict their large-scale application in industry. In contrast, preparation of ZnO via wet-chemical routes with easily controlled conditions and lower consumption of energy provide a promising option for mass production of this material. Many methods have been developed to synthesize ZnO nanoparticles, including solution-based techniques such as the sol-gel process¹⁸, the hydrothermal method¹⁹, precipitation²⁰, the microwave method²¹, etc.

Most of the previous systematic chemical syntheses showed that ZnO with different morphologies such as flowers and rods can be obtained by altering the concentration of NaOH. One-dimensional ZnO nanostructures with a high aspect ratio have been successfully synthesized by Chen Liangyuan *et al.*³ in a low-temperature hydrothermal process at 85 °C for 5 h using SDS as surfactant.

Hydrothermal synthesis is becoming popular for environmental reasons, since water is used as a reaction solvent rather than an organic solvent. This method has been widely used to prepare nanomaterials owing to its simplicity, high efficiency and low cost.

In the work described here, a simple low-temperature (120 °C) and surfactant-free hydrothermal method has been developed to synthesize different morphologies of ZnO in alkaline media, using zinc acetate and NaOH as the reactants. The influence of the reaction time and the alkali concentration on the morphology and composition of the hydrothermal products is investigated.

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II. Materials and Experimental Procedures

Zinc acetate (99.99 % trace metals basis) and sodium hydroxide (ACS reagent, $\geq 97.0\%$, pellets) from (Sigma-Aldrich) were used as starting materials. For the synthesis of zinc oxide under hydrothermal conditions, 25 ml of zinc acetate solution (0.5 M) was filled into a Teflon vessel. An appropriate amount of NaOH was added into the vessel i.e. 0.49, 0.98, 1.96 and 3.92 g. In the present work, different ratios of starting $\text{Zn}^{2+}/\text{OH}^-$ i.e. 1:1, 1:2, 1:4 and 1:8, were used in preparation of the ZnO. After it had been sealed, the autoclave was treated at 120°C for 1, 2 and 3 h and then cooled down. After the vessel had been cooled to room temperature, the powders were collected and washed with distilled water several times by means of centrifugation. The powders were then dried in an oven at 100°C .

The qualitative phase composition of the prepared ZnO was examined with X-ray diffraction (XRD). A Bruker D8 Advance diffractometer with a $\text{Cu-K}\alpha$ target and

secondary monochromator ($K\alpha = 40$ and $\text{mA} = 40$) was used. The morphology and particle sizes of the as-prepared powders were tested with a high-resolution scanning electron microscope, model Philips XL 30, with accelerating voltage 30 kv.

III. Results and Discussion

Fig. 1 shows the X-ray diffraction patterns of as-prepared ZnO powder at 120°C for 1, 2 and 3 h using 0.49 g NaOH (i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:1$) by the hydrothermal method. As indicated in these patterns, the sample obtained at 1, 2 and 3 h exhibits a wurtzite ZnO structure according to JCPDS 36–1451. The following XRD peaks were detected; i.e. (100), (002), (101), (102), (110), (103), (200), (112), (201), (004), (202) at $2\theta = 31.8, 34.5, 36.3, 47.6, 56.6, 62.9, 66.3, 68.0, 69.2, 72.5, 76.9$, respectively. Also, it is observed that the peak intensities of the ZnO phase increased with increasing reaction time.

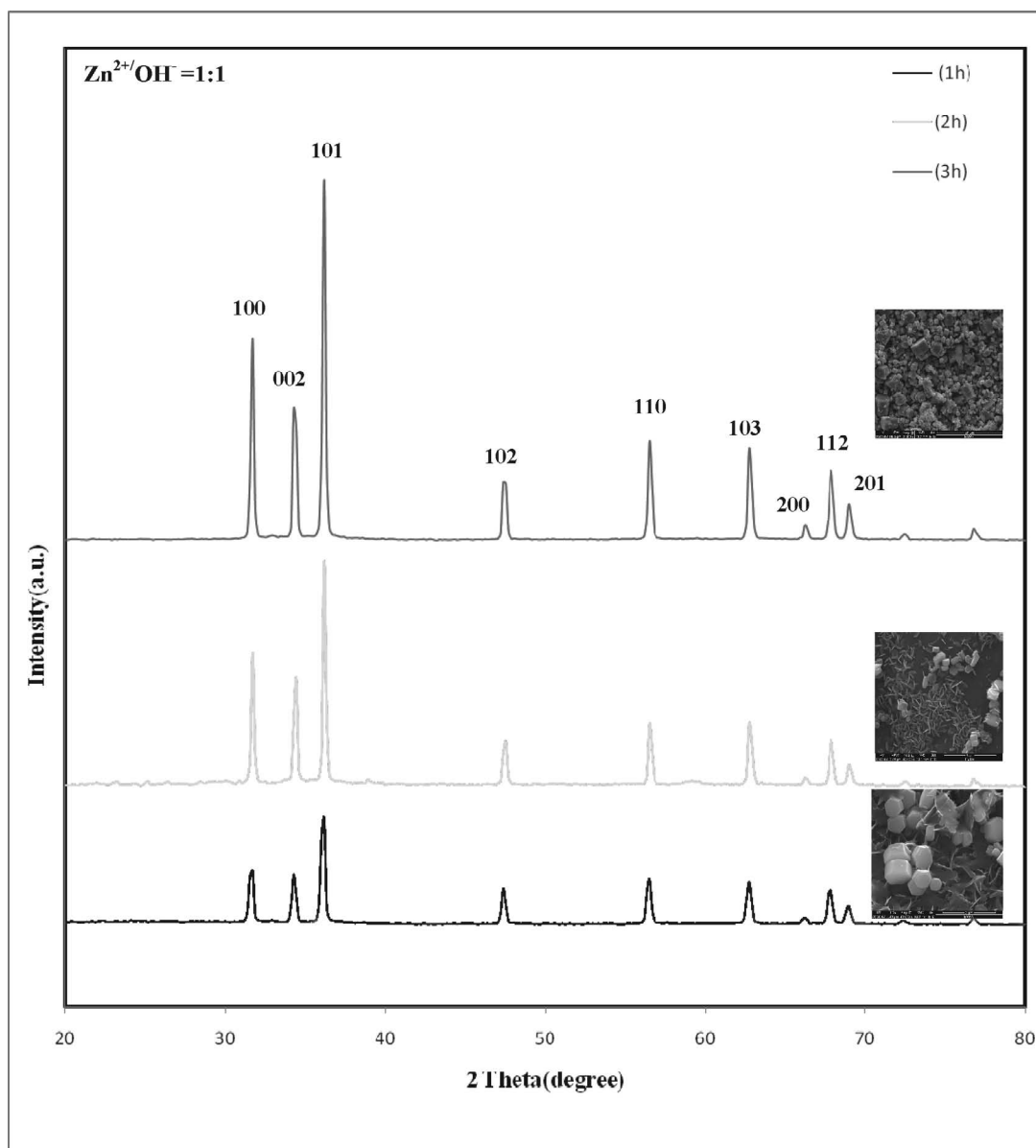


Fig. 1: XRD patterns of the as-prepared ZnO powders using 0.49 g NaOH at 1 h, 2 h and 3 h.

Fig. 2 shows the X-ray diffraction patterns of as-prepared ZnO powder at 120 °C for 1, 2 and 3 h using 0.98 g NaOH (i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:2$) by the hydrothermal method. It appears that all diffraction peaks for all samples are quite similar to the wurtzite ZnO. It seems that the diffraction peak intensities of ZnO phase increase as the time of the reaction increases. It is worth mentioning that the results of the diffraction patterns of ZnO prepared using 1:4 and 1:8 $\text{Zn}^{2+}/\text{OH}^-$ are very similar to that of ZnO prepared using 1:2 $\text{Zn}^{2+}/\text{OH}^-$.

Figs. 3a-d show SEM images of the as-prepared ZnO obtained at 120 °C for 1 h using different ratios of $\text{Zn}^{2+}/\text{OH}^-$, i.e. 1:1, 1:2, 1:4 and 1:8, respectively. It is evident that the sample prepared using 0.49 g NaOH (i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:1$) exhibited a hexagonal prism-like structure of ZnO crystalline phase (Fig. 3a) with crystallite size ranging between 300 nm and 1.5 μm . The apparent amorphous phase is assigned to $\text{Zn}(\text{OH})_2$. With a further increase in the NaOH concentration, i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:2$, some nanorods and flakes started to appear (Fig. 3b) beside the

ZnO nanoparticles. When the concentration of NaOH reached 1.96 g i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:4$, different morphologies like nanorods, slices and nanoflakes were obtained (Fig. 3c). With 1.96 g NaOH i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:8$, the morphology of the obtained ZnO changed into microflowers as the major structure and some nanoparticles and nanosheets as the minor structure (Fig. 3d). The flower-like microstructure with an average size of 10 μm is constructed from many sword-like nanorods.

The higher magnification image of a single flower in Fig. 3e clearly shows that the ZnO rods have a sharp tip radially aligned from the center. The microstructure of individual microflower is composed of many sword-like nanorods with a diameter of 50–230 nm, a length of 1–1.5 μm and a sharp tip. This well-defined microstructure is similar to that prepared by Xie *et al.*²², who prepared ZnO flowers composed of sharp-tipped ZnO rods at $\text{Zn}^{2+}/\text{OH}^- = 1:4$ and 1:5. Wang *et al.*¹⁵ also prepared ZnO flowers with the hydrothermal method at 90 °C for 5 h with an average diameter of 5–6 μm .

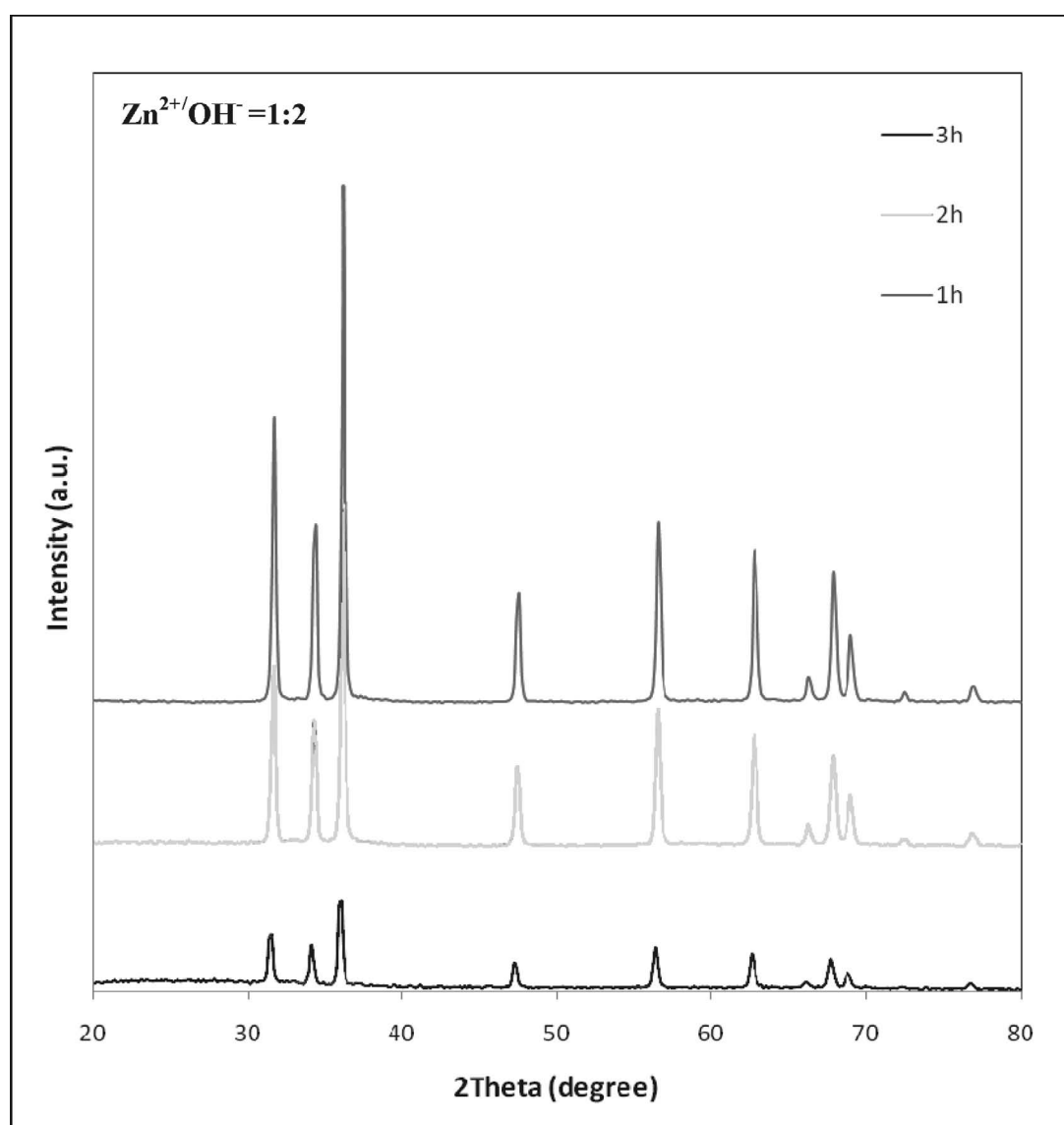


Fig. 2: XRD patterns of the as-prepared ZnO powders using 0.98 g NaOH at 1 h, 2 h and 3 h.

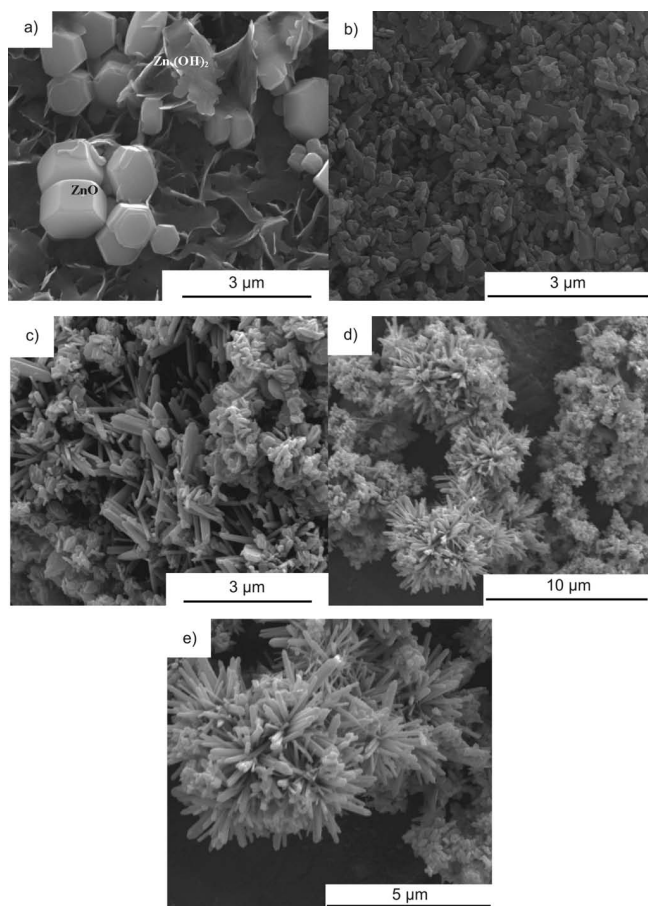
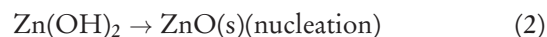
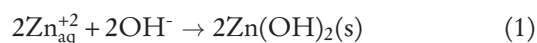


Fig. 3: SEM images of as-prepared samples obtained at 120 °C for 1 h using different ratios of (a) $\text{Zn}^{2+}/\text{OH}^- = 1:1$, (b) 1:2, (c) 1:4, (d) 1:8, (e) high-magnification SEM image of the ZnO flowers.

As the duration of the hydrothermal reaction increases to 2 h (Fig. 4), the crystallinity of the ZnO increases and the prepared powder retains its microstructure architecture with larger grain sizes for all NaOH concentrations. For the ZnO prepared using 1:1 $\text{Zn}^{2+}/\text{OH}^-$ (Fig. 4a), the nucleation of hexagonal disks of ZnO crystals increased at the expense of $\text{Zn}(\text{OH})_2$. For $\text{Zn}^{2+}/\text{OH}^- = 1:2$, the nanorods are longer as a result of growth along (002) direction. For $\text{Zn}^{2+}/\text{OH}^- = 1:4$, the crystal growth of ZnO nanorods is somewhat suppressed along the (002) direction, resulting in nanoslices and nanoflakes. Thruster vanes started to appear beside other morphologies like nanorods, slices and nanoflakes. For the highest concentration of NaOH ($\text{Zn}^{2+}/\text{OH}^- = 1:8$), the formed microflower composed of nanorods became thicker and longer. In the present work, we succeeded in preparing a ZnO microflower at a relatively low temperature compared with the finding of G. Nagaraju²³ who prepared a star-like structure at 180 °C for 6 h. A further increase in the treatment time to 3 h has no effect on the crystal shape of ZnO for all concentrations of NaOH as shown in Fig. 5, except there are an enhancement of crystal size and $\text{Zn}(\text{OH})_2$ disappeared completely. The only difference is the enhancement of crystal size. Samaele *et al.*²⁴ claimed that the precipitation of ZnO particles has been usually described through a growth unit that might be either $\text{Zn}(\text{OH})_2$ or $\text{Zn}(\text{OH})_4^{2-}$ ions, depending on the pH, temperature and synthetic methods. Xie *et al.*²² proposed that ZnO can

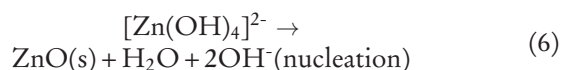
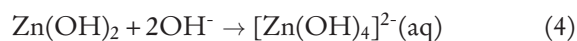
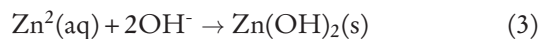
grow by the $\text{Zn}(\text{OH})_2 - \text{Zn}(\text{OH})_4^{2-}$ species when the mole ratio of $\text{Zn}^{2+}/\text{OH}^-$ is within a range of 1:4–1:7. However, $\text{Zn}(\text{OH})_4^{2-}$ ions are the precursors of $\text{Zn}^{2+}/\text{OH}^-$ in the range of 1:8–1:9.

The formation process of ZnO nano- and microparticles can be discussed as follows; it is well accepted that crystal formation in solution can be divided into two stages: crystal nucleation followed by crystal growth for the crystal nuclei. The obtained particle size and shape depend on the nucleation rate as well as the crystal growth rate. At the lowest concentration of NaOH, i.e. $\text{Zn}^{2+}/\text{OH}^- = 1:1$, the rate of formation of the ZnO nucleus is very slow as indicated by the presence of amorphous $\text{Zn}(\text{OH})_2$ in the SEM for the reaction time of 1 h. This means that the rate of the transformation of $\text{Zn}(\text{OH})_2$ into hexagonal prism ZnO is low and increases with an increase in the basicity of solution and time of the reaction according to the following dissolution-precipitation mechanism:



For needle-like ZnO, the nucleation and growth rates of the ZnO crystal are relatively slow. Therefore, a suitable amount of ZnO nuclei generates in the initial stage of the aging step. After that, appropriate number of growth units is preferentially supplied for the c-axis direction for every nucleus to form ZnO nanorods as seen in the Fig. 3b. As the basicity of the solution increases, the crystal growth along the [0 0 2] direction is suppressed. Nanoslices can be obtained owing to quasi 1D growth, clearly observed in Fig. 3c. Finally, when multiple nanoslices grow further, nanothruster vanes can be formed by self-assembled growth as shown in Fig. 5c.

For the reactions of flower-like ZnO formation, ZnO nuclei formed spontaneously from the dehydration of abundant $\text{Zn}(\text{OH})_4^{2-}$ ions and followed by crystal growth. During the latter process, growth unit $\text{Zn}(\text{OH})_4^{2-}$ is directly incorporated into ZnO crystallites under given conditions, according to the following reaction:



Both nucleation and crystal growth are very fast. At the beginning of the aging step, large amounts of ZnO nuclei form, and owing to the driving forces of high surface energy and electrostatic force, some ZnO nuclei easily aggregate together immediately^{22, 25} then each nucleus in the aggregate grows anisotropically along its c-axis, resulting in flower-like nanorod-assemblies²⁶. This is very similar to our results obtained when $\text{Zn}^{2+}/\text{OH}^-$ is 1:8.

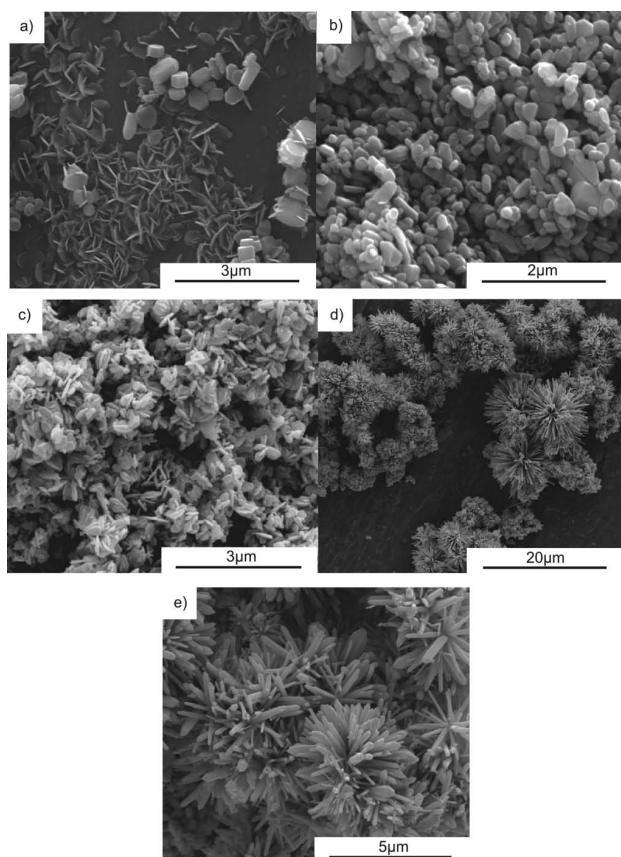


Fig. 4: SEM images of as-prepared samples obtained at 120 °C for 2 h using different ratios of (a) $\text{Zn}^{2+}:\text{OH}^- = 1:1$, (b) 1:2, (c) 1:4, (d) 1:8, (e) high-magnification SEM image of the ZnO flowers.

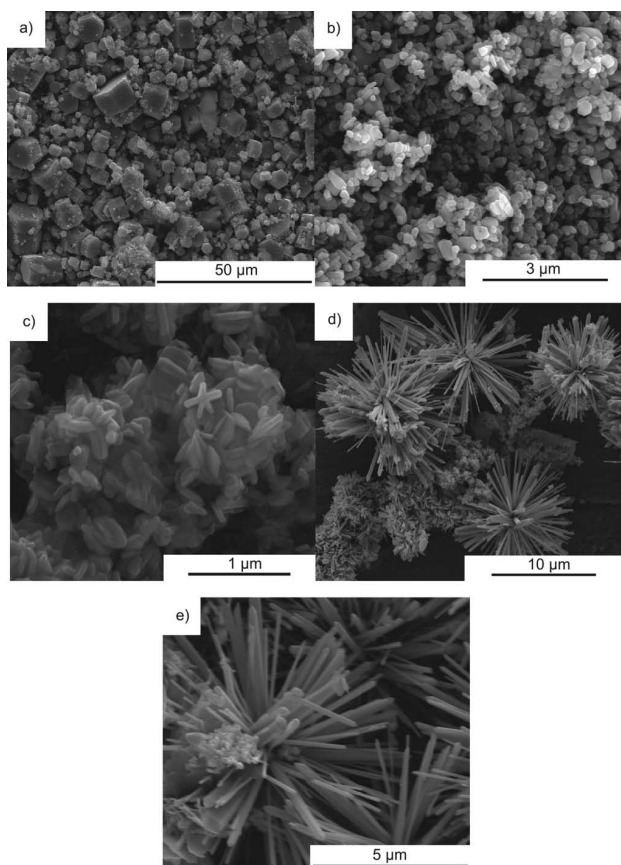


Fig. 5: SEM images of as-prepared samples obtained at 120 °C for 3 h using different ratios of (a) $\text{Zn}^{2+}:\text{OH}^- = 1:1$, (b) 1:2, (c) 1:4, (d) 1:8, (e) high-magnification SEM image of the ZnO flowers.

It was well established that the growth mechanism of ZnO crystals involves the formation of growth units along the c-axis based on a dehydration reaction because of the very low surface energy of the (0001) facet^{27–28}. The crystal growth is initiated preferentially from the most thermodynamically active clusters on the surface²⁹. In the solution method, the velocity of crystal growth in different directions has been reported to be: $V(0001) > V(1011) > V(1010) > V(11\bar{2}1) > V(0001)$ ³⁰. Therefore, the (0001) plane is the most rapid growth plane so its disappearance is quicker in the hydrothermal process, which leads finally to hexagonal nanorods. Such hexagonal morphology is consistent with the idealized growth behavior of the ZnO crystal described by Laudise and Ballman³¹, who deemed that ZnO prefers to grow along the [0001] direction. The crystal facet with faster growth velocity tends to disappear while the facet with slower growth velocity tends to remain³².

IV. Conclusions

Different morphologies of ZnO nano-microparticles have been prepared at 120 °C for 1–3 hours by means of the hydrothermal method. This method requires a relatively low temperature and short time compared with either the same synthetic or other techniques. The solution basicity and reaction time were important factors affecting the morphology of the final product. With an increase in the reaction time, the crystallinity of the phases formed was enhanced. When the alkali concentration was changed, different morphologies of ZnO were obtained.

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